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SOUTHLAKE, TX 76092
817-416-5970
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Dated March 1st, 2024

John Allen Chalk, Jr., CPA, JD, CFP®^{^*}
Jeffrey Lee McCain*
Annette Christine Hamil, CPA, MBA
Max William May*

Purpose of the Brochure Supplement:

This brochure supplement provides information about our personnel listed above that supplements the Trinity Portfolio Advisors, LLC (TPA) brochure. You should have received a copy of that brochure. Please contact Jeri Liedl at (817) 416-7227 if you did not receive Trinity Portfolio Advisor's brochure or if you have any questions about the contents of this supplement.

Additionally, a Summary of Professional Designations is included with this Part 2B Brochure Supplement. The list is provided to assist you in evaluating the professional designations held by our investment professionals.

Additional information about our personnel is available on the SEC's website at www.adviserinfo.sec.gov.

[^]Principal/Founder

*Investment Committee Member for Trinity Portfolio Advisors, LLC which oversees policy as well as strategic and tactical direction for the firm's investment portfolios.

PART 2B OF FORM ADV: BROCHURE SUPPLEMENT



John Allen Chalk, Jr., CPA, JD, CFP®

Born: 1963

Chief Executive Officer

Educational Background and Business Experience

Post-Secondary Education:

- Abilene Christian University, BBA, Accounting, 1986
- University of Texas, Juris Doctorate (JD), 1991

Recent Business Experience:

- | | | |
|-----------------------------------|--------------------|---------------------------|
| • Trinity Portfolio Advisors, LLC | 10/2009 to present | Owner/Member |
| • MML Investors Services, Inc | 01/2001 – 01/2010 | Registered Representative |

Professional Designations:

John Allen Chalk, Jr. has earned the **Certified Public Accountant (CPA)** and **Certified Financial Planner (CFP®)** designations and is in good standing with the granting authority.

Disciplinary Information

John Allen Chalk, Jr. has no reportable disciplinary history.

Other Business Activities

John Allen Chalk, Jr. is engaged in investment-related business outside of his role with Trinity Portfolio Advisors, LLC. Mr. Chalk, in his individual capacity, is also a licensed insurance agent with Mass Mutual Financial Group and various other insurance companies, and in such capacity, may recommend, on a commission basis, the purchase of certain insurance products. Although TPA does not sell such insurance products to its investment advisory clients, TPA does permit its *Advisory Affiliates*, in their individual capacities as licensed insurance agents, to sell insurance products to its investment advisory clients. A potential conflict of interest may exist to the extent that Mr. Chalk recommends the purchase of insurance products where he receives insurance commissions or other additional compensation from insurance companies.

John A. Chalk, Jr., is a licensed practicing attorney admitted to the Bar of the State of Texas. Mr. Chalk maintains a limited legal practice, separate and distinct from TPA's investment advisory activities. TPA may, from time to time, recommend certain of its clients to Mr. Chalk for various legal services. Mr. Chalk shall render these services independently of TPA, and TPA shall not receive any portion of the fees charged (referral or otherwise) by Mr. Chalk for the services rendered. No portion of the financial plan or any other services rendered by TPA to clients should be interpreted as legal advice. Rather, clients should defer to the advice of their own attorney.

Additional Compensation

John Allen Chalk, Jr. does not receive any economic benefit from a non-advisory client for the provision of advisory services. All client advisory service fees are paid directly to Trinity Portfolio Advisors, LLC.

In addition to an annual in-person review of our firm's policies and procedures, each advisor is subject to the following ongoing supervision and review:

- Daily trade reviews
- Quarterly review of personal securities accounts
- Annual review of personal bank statements
- Monthly correspondence reviews, including ongoing capture and review of email
- Periodic reviews of client account activity

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Jeffrey Lee McCain

Born: 1966

Investment Advisor Representative

Educational Background and Business Experience

Post-Secondary Education:

- Texas Christian University, BBA, Finance, 1988

Recent Business Experience:

- Trinity Portfolio Advisors, LLC 11/2009 to present Investment Advisor Representative
- MML Investor Services, Inc. 03/2007 – 11/2009 Financial Advisor

Disciplinary Information

Jeffrey Lee McCain has no reportable disciplinary history.

Other Business Activities

Jeffrey Lee McCain is engaged in investment-related business outside of his role with Trinity Portfolio Advisors, LLC. Mr. McCain, in his individual capacity, is also a licensed insurance agent with various other insurance companies, and in such capacity, may recommend, on a commission basis, the purchase of certain insurance products. Although TPA does not sell such insurance products to its investment advisory clients, TPA does permit its *Advisory Affiliates*, in their individual capacities as licensed insurance agents, to sell insurance products to its investment advisory clients. A potential conflict of interest may exist to the extent that Mr. McCain recommends the purchase of insurance products where he receives insurance commissions or other additional compensation from insurance companies.

Jeffrey Lee McCain., is engaged in investment related business outside of his role with Trinity Portfolio Advisors, LLC.

Additional Compensation

Jeffrey Lee McCain does not receive any economic benefit from a non-advisory client for the provision of advisory services. All client advisory service fees are paid directly to Trinity Portfolio Advisors, LLC.

Supervision

Supervisor: John A. Chalk, Jr
Title: Chief Compliance Officer
Phone Number: (817) 416-7227

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Annette Christine Hamil

Born: 1979

Investment Advisor Representative

Educational Background and Business Experience

Post-Secondary Education:

- Wichita State University, MBA, MBA, 2003
- Wichita State University, BBA, Accounting, 2001

Recent Business Experience:

- | | | |
|-----------------------------------|--------------------|-----------------------------------|
| • Trinity Portfolio Advisors, LLC | 11/2010 to present | Investment Advisor Representative |
| • Hamil Truck Sales | 12/2013 – present | Administrator |

Professional Designations:

Annette Christine Hamil has earned the **Certified Public Accountant (CPA)** designation and is in good standing with the granting authority.

Disciplinary Information

Annette Christine Hamil has no reportable disciplinary history.

Other Business Activities

Annette Christine Hamil is engaged in investment-related business outside of her role with Trinity Portfolio Advisors, LLC (TPA). Mrs. Hamil, in her individual capacity, is also a licensed insurance agent with Mass Mutual Financial Group and various other insurance companies, and in such capacity, may recommend, on a commission basis, the purchase of certain insurance products. Although TPA does not sell such insurance products to its investment advisory clients, TPA does permit its *Advisory Affiliates*, in their individual capacities as licensed insurance agents, to sell insurance products to its investment advisory clients. A potential conflict of interest may exist to the extent that Mrs. Hamil recommends the purchase of insurance products where she receives insurance commissions or other additional compensation from insurance companies.

Additional Compensation

Annette Christine Hamil does not receive any economic benefit from a non-advisory client for the provision of advisory services. All client advisory service fees are paid directly to Trinity Portfolio Advisors, LLC.

Supervision

Supervisor: John A. Chalk, Jr

Title: Chief Compliance Officer

Phone Number: (817) 416-7227

In addition to an annual in-person review of our firm's policies and procedures, each advisor is subject to the following ongoing supervision and review:

- Daily trade reviews
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Max William May



Born: 2000

Investment Advisor Representative

Financial Analyst

Educational Background and Business Experience

Post-Secondary Education:

- Clemson University, BS, Marketing, 2022

Recent Business Experience:

- Trinity Portfolio Advisors, LLC 03/2023 to present Investment Advisor Representative
- Trinity Portfolio Advisors, LLC 07/2022 to present Investment Analyst

Disciplinary Information

Max William May has no reportable disciplinary history.

Other Business Activities

Max William May is engaged in investment-related business outside of his role with Trinity Portfolio Advisors, LLC. Mr. May, in his individual capacity, is also a licensed insurance agent with various other insurance companies, and in such capacity, may recommend, on a commission basis, the purchase of certain insurance products. Although TPA does not sell such insurance products to its investment advisory clients, TPA does permit its *Advisory Affiliates*, in their individual capacities as licensed insurance agents, to sell insurance products to its investment advisory clients. A potential conflict of interest may exist to the extent that Mr. May recommends the purchase of insurance products where he receives insurance commissions and other compensation from insurance companies.

Additional Compensation

Max William May does not receive any economic benefit from a non-advisory client for the provision of advisory services. All client advisory service fees are paid directly to Trinity Portfolio Advisors, LLC. Max William May does receive compensation as an employee of Trinity Portfolio Advisors in the role of a financial analyst.

Supervision

Supervisor: John A. Chalk, Jr.

Title: Chief Compliance Officer

Phone Number: (817) 416-7227

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PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

Summary of Professional Designations

This Summary of Professional Designations is provided to assist you in evaluating the professional designations and minimum requirements of our investment professionals who hold these designations.

“Understanding Professional Designations” may also be helpful and found on the FINRA website at:

<https://www.finra.org/investors/professional-designations>

Certified Public Accountant (CPA) - licensed and regulated by the Texas State Board of Accountancy. Designation requires meeting minimum education requirements principally in business and accountancy, passing a uniform examination, and performing sufficient hours of public accounting work supervised by a licensee. Maintaining the designation generally requires 120 hours of continuing education in general areas (such as management) and specific technical areas (such as taxation) every three years.

The **Certified Financial Planner (CFP®)** certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field.
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.