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**Dated March 31<sup>st</sup>, 2023**



**Purpose of the Brochure Supplement:**

This brochure supplement provides information about our personnel listed above that supplements the Trinity Portfolio Advisors, LLC (TPA) brochure. You should have received a copy of that brochure. Please contact Jeri Liedl at (817) 416-7227 if you did not receive Trinity Portfolio Advisor's brochure or if you have any questions about the contents of this supplement.

Additional information about our personnel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Disclaimer:**

While Trinity Portfolio Advisors, LLC, may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration does not imply a certain level of skill or training.

## **Educational Background and Business Experience**

**Full Legal Name:** Matthew J. Oberst

**Born:** 1964

### **Post-Secondary Education:**

- University of Dayton, Bachelor of Science, Marketing, 1986

### **Recent Business Experience:**

- |                                   |                    |  |
|-----------------------------------|--------------------|--|
| • Trinity Portfolio Advisors, LLC | 11/2009 to present | Investment Advisor Representative                |
| • MML Investor Services, Inc.     | 06/2006 to 11/2009 | Registered Rep/Investment Advisor Representative |

## **Disciplinary Information**

Matthew J. Oberst has no reportable disciplinary history.

## **Other Business Activities**

Matthew J. Oberst is engaged in investment-related business outside of his role with Trinity Portfolio Advisors, LLC. Mr. Oberst, in his individual capacity, is also a licensed insurance agent with various other insurance companies, and in such capacity, may recommend, on a commission basis, the purchase of certain insurance products. Although TPA does not sell such insurance products to its investment advisory clients, TPA does permit its *Advisory Affiliates*, in their individual capacities as licensed insurance agents, to sell insurance products to its investment advisory clients. A potential conflict of interest may exist to the extent that Mr. Oberst recommends the purchase of insurance products where he receives insurance commissions or other additional compensation from any other insurance company.

Mr. Oberst is engaged in non-investment-related business outside his role with TPA

## **Additional Compensation**

Matthew J. Oberst does not receive any economic benefit from a non-advisory client for the provision of advisory services. All client advisory service fees are paid directly to Trinity Portfolio Advisors, LLC.

## **Supervision**

**Supervisor:** John A. Chalk, Jr.

**Title:** Chief Compliance Officer

**Phone Number:** (817) 416-7227

In addition to an annual in-person review of our firm's policies and procedures, each advisor is subject to the following ongoing supervision and review:

- Daily trade reviews
- Quarterly review of personal securities accounts
- Annual review of bank statements
- Monthly correspondence reviews, including ongoing capture and review of email
- Periodic reviews of client account activity